Docke#No.

215246US0PCT

# UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Ryuji UENO

SERIAL NO:

09/926,411

GAU:

1615

FILED:

October 29, 2001

EXAMINER: AZPURU, CARLOS

FOR:

USE OF MACROLIDE COMPOUNDS FOR THE TREATMENT OF DRY EYE

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

| · □ | The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed |
|-----|---|
|     | references are attached, where required, as are either statements of relevancy or any readily available English   |
|     | translations of pertinent portions of any non-English language references.  |

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. In accordance with discussions on August 4, 2004 with Mr. Nicholas P. Godici, Commissioner for Patents, it is no longer required to submit copies of cited pending applications. A modification of the Rules will be published soon in the Official Gazette. Cited issued patents, if any, are listed on the attached PTO form 1449.
- A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

## DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

08/19/2004 MMEKONEN 00000028 09926411

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# LIST OF RELATED CASES

|                | Serial or     | Filing or  | Inventor/        |
|----------------|---------------|------------|------------------|
| Docket Number  | Patent Number | Issue Date | <u>Applicant</u> |
| 253232US0 PCT  | 10/495,425    | 5/21/04    | UENO             |
| 215246US0 PCT* | 09/926,411    | 10/29/01   | UENO             |

<sup>\*</sup>Present Application; listed for information NFO/get